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JC986 U.S. PTO  
09/961136  
09/20/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : PATENT APPLICATION  
BATTIN, JEFFREY J. ET AL. : A METHOD AND APPARATUS FOR  
Serial No. To be assigned : CREATING A DATABASE OF  
Filed September 20, 2001 : INDIVIDUALS FOR PERMISSION-  
BASED MARKETING AND  
COMMUNICATIONS PURPOSES

**INFORMATION DISCLOSURE STATEMENT**

Pittsburgh, Pennsylvania 15222

September 20, 2001

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.51, 1.56, 1.97 and 1.98, a copy of the art identified on attached form PTO-1449 is enclosed herewith as a citation in connection with the captioned application. The applicants hereby request the Examiner to review and make an independent evaluation of the art. A copy of each reference is provided.

Pages from Phantom Phanatics website at [www.phantomphanatic.com](http://www.phantomphanatic.com),

No fee is believed to be required in connection with the filing of this Information Disclosure Statement because it is being submitted within three months of the filing of the above-captioned application.

Applicants believe that their METHOD AND APPARATUS FOR CREATING A DATABASE OF INDIVIDUALS FOR PERMISSION-BASED MARKETING AND COMMUNICATIONS PURPOSES as described and claimed in the present application is neither taught nor suggested by this prior art. Accordingly, applicants' invention is believed to be patentable over these prior art references.

Respectfully submitted,

METZ SCHERMER & LEWIS, LLC

A handwritten signature in black ink, appearing to read "Philip E. Levy". The signature is stylized with a large, looping "P" and "L".

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# **INFORMATION DISCLOSURE CITATION**

*(Use several sheets if necessary)*

Docket Number (Optional)  
**1720/001**

Application Number  
**To be assigned**

Applicant(s)  
**Battin, Jeffrey J.**

Filing Date

Group Art Unit

JC986 U.S. PTO  
**09/961136**  
09/28/01

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## **FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## **OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Pages from Phantom Phanatics website at <a href="http://www.phantomphanatic.com">www.phantomphanatic.com</a> , June 22, 2001.

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.